

Eesti Teadusagentuur
Estonian Research Council

Horisont 2020 instrumentide ja Struktuurifondide kooskasutamise võimalused ehk „sünergiad“

Vallo Mulk

27. veebruar 2014

Tartu

Esitluse sisu

- Tutvustada T&A-tegevuse toetamiseks ettenähtud SF vahendite kasutamise tingimusi;
- Vaadelda võimalusi Horisondi riiklike koostöömeetmete (JPI-d, sh. ERA-NETid) ja SF kooskasutamise võimalusi ja piiranguid.

SF TA&I jaoks 2014-2020

- ESIF = ERDF, ESF, CF, EAFRD, EMFF
- 2007-13: 347 mld, sh 86 mld TA&I jaoks
- 2014-20: 11 temaatilist eesmärki ja 43 investeringuprioriteeti
- 325 mld, sh 100 mld TA&I, IKT ja VKE jaoks
- Ka Erasmus+, COSME, LIFE jt. kaasrahastus võimalik

Thematic objectives

1. **Research and innovation**
2. **Information and Communication Technologies**
3. **Competitiveness of Small and Medium-Sized Enterprises (SME)**
4. **Shift to a low-carbon economy**
5. Climate change adaptation and risk management and prevention
6. Environmental protection and resource efficiency
7. Sustainable transport and disposal of congestion on major network infrastructure
8. Employment and support for labour mobility
9. Social inclusion and poverty reduction
10. Education, skills and lifelong learning
11. Increased institutional capacity and effectiveness of public administration

Kuhu kulub SF raha?

- Nutika spetsialiseerumise eeltingimus ehk *RIS3 ex-ante conditionality* – kõik ERDF 1. prioriteedi ehk TA&I investeeringud peavad vastama riigi NS eelisvaldkondadele
- ERDF võib kulutada kuni 15% väljaspool riiki, kuni 10% eelarvest või olla ESF-tüüpi tegevused
- Peaks looma lisaväärtuse ja võimendama teadusprojekti mõju sots- maj tasemel

Horisoni ja Struktuurifondide erinevused

Horizon2020

Non-territorial, mainly transnational approach based on excellence; Horizon 2020 does not take into account geographic specificities in allocating funding.

Focus on individual R&I projects tackling the whole cycle of innovation, taking into account strategic approaches at EU level, e.g. through European Innovation Partnerships and the Strategic Energy Technology plan (although some programme co-funding for research coordination like ERA-NETs, etc.)

Centrally managed (EC) and awarded directly to final beneficiaries or managed by a multi-country entity;
 In the case of Erasmus+, partially decentralised and awarded through National Agencies

Competitive calls for proposals addressed to international groupings (including beyond the EU) without geographic pre-allocation.
 (European Research Council and Marie Skłodowska-Curie as well as Erasmus+ actions for learning mobility also address individuals)

ESIF

Place-based approach supporting economic and social cohesion

Largely focused on improving the R&I capacities and R&I eco-systems with the objective of regional growth and place-based economic transformation towards higher added value and more knowledge-intensive activities (smart specialisation strategies).

Shared management with national and regional public intermediaries (managing authorities, implementing agencies and intermediate bodies) which define the implementation details and allocate the funding to final beneficiaries

Policy-related prioritisation based on cohesion considerations and RIS3 priorities with allocation to individual firms/bodies and consortia within the territory covered by the operational programme (and only within the EU).
 Use of competitive attribution through calls and aid schemes based on project selection criteria is also increasingly used (depending on MS).

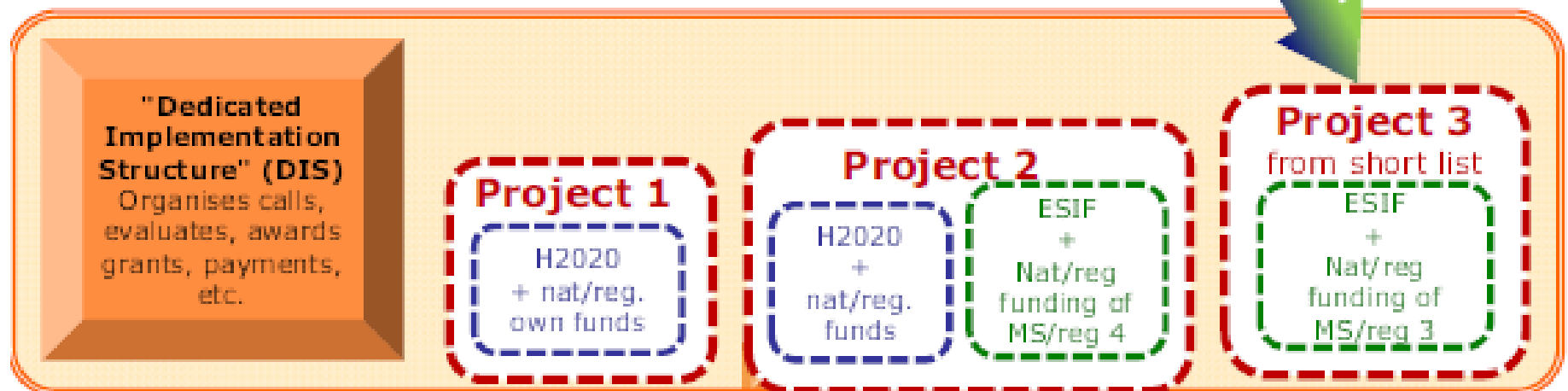
Mis on antud kontekstis sünergiad?

- **Sünergiad** – erinevate rahastusallikate kumulatiivsest või paralleelsest kasutamisest tekkiv täiendav efekt (valdkonna) TAI kvaliteedile ja laiem sots.-maj. mõju regioonile/riigile.
- **Projekti** võib meie mõistes vaadelda pigem programmina või projektide kogumina, mille erinevad ajalised etapid, või erinevad tegevused (*actions*) viiakse ellu erinevatest allikatest
- **Project > Actions > cost items**

Kumulatiivne rahastus

- Erinevate riigi (sh SF) ja EL vahendite kooskasutamine sama projekti (programmi) erinevate elluviimise etappide rahastamiseks
- Horisondist raha saanud projektide toetamine SF investeringutega (infra, TRL 4-9, turule)
- Toetusmeetmete ajaline kooskõlastamine vajalik, ka aruandlus ja tulemuste (mõju) hindamine

Näide



Näide

Step 2: submit proposal

Horizon2020:
Evaluators look into overall concept as part of assessing to the scientific / innovation quality of the entire project

Timing for getting evaluation result after submission deadline: 5 months

Concept & planning

Horizon2020 proposal:

Eligible cost:
▶ e.g. coordination ; R&D staff ; consumables ; travel costs...

Budget:
70% H2020
+ 30% own public or private funds (*no ESIF!*)

ESIF proposal

Eligible cost:
▶ e.g. purchase of R&D equipment and infrastructure
▶ e.g. IPR management training for researchers

Budget:
ESIF from different OPs
+ relevant % of own public funds (*no H2020!*)

Step 1: discuss with MA

ESIF MA: looks into the overall project concept

... and its part of the budget and checks the eligibility of the type of proposed costs, the location of the operation, OP priorities, etc.

BEFORE the H2 project is submitted to the H2 call

If OK: **pre-commitment letter?**

... and may wish to make the ESIF grant agreement dependent on the award of the H2 project

Timing: 3-4 months (= deadline for H2 proposal submission)

Step 3: inform MA about H2020 result and either finalise the ESIF grant agreement or withdraw ESIF application. Timing: max. 3 months

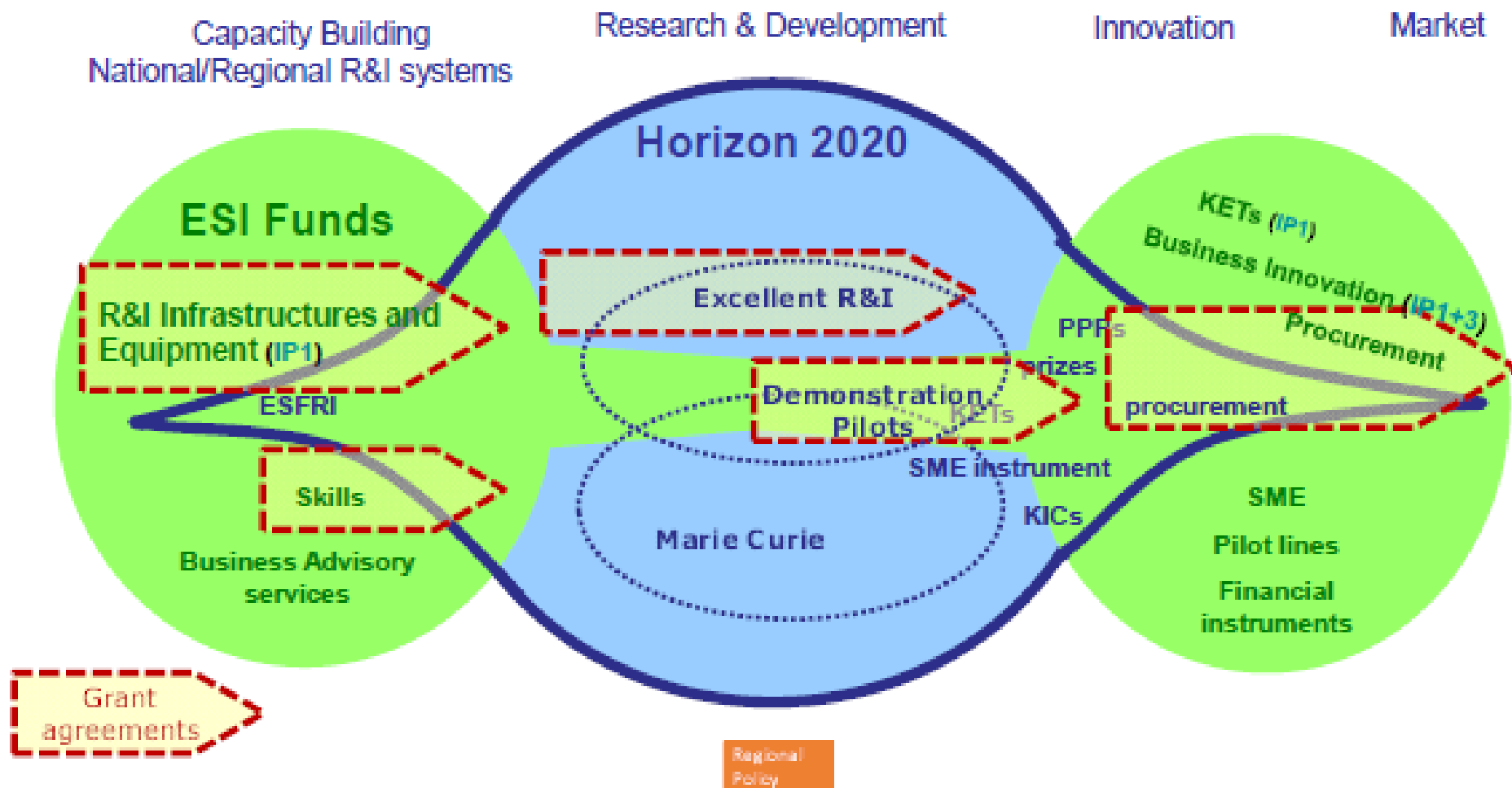
Paralleelne rahastus

- (Ajaliselt) sama projekti erinevate tegevuste rahastus erinevatest allikatest
- Tuleb ette määrata millised kuluread kaetakse Horisondi projektist (nt. ERA-NET rahastus), ja millised SF vahenditest
- Raamatupidamise eristamine, rahastusmäärade, aruandlusperioodide, tulemuste koos/võrdleva hindamise korraldus

Mida ei tohi teha

- Rahastada sama kuluartiklit erinevatest allikatest (v.a. kui ei ole järgmine etapp „projektis“)
- Asendada riiklik või erasektori omafinantseering SF rahastusega: nt ERA-NET Cofund 66% riigi panust ei saa SF-st katta
- Arvestada SF investeringuid kui *in-kind* rahastust H2020 projektis

Sama projekt, erinevad allikad



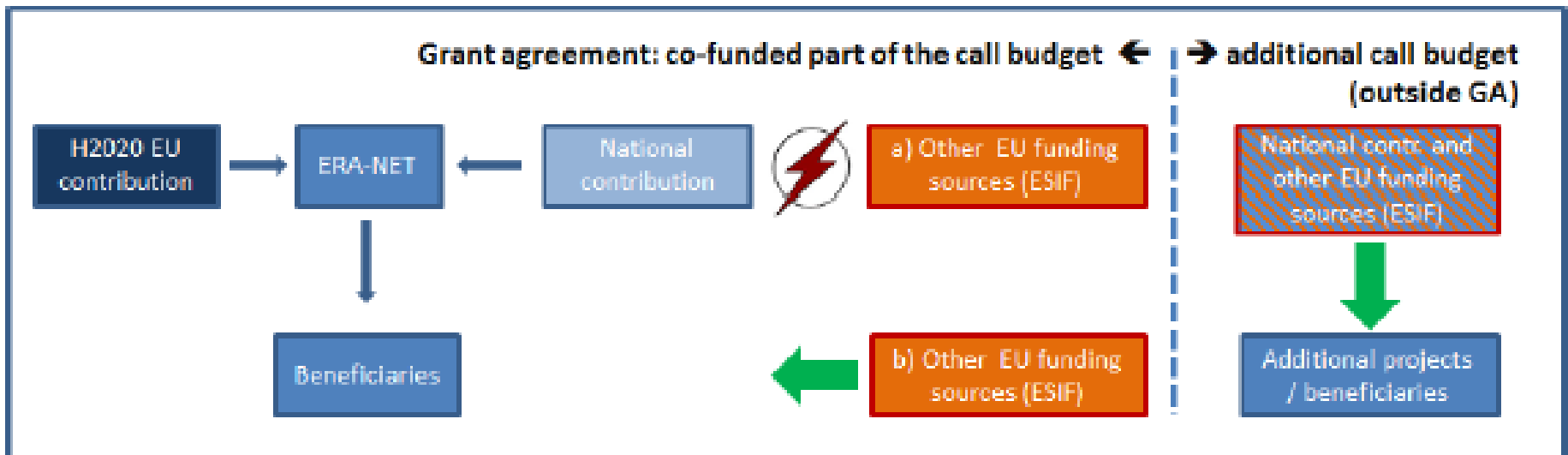
JPI-de ja SF sünergiad

- SF saab kasutada Strategic Research Agenda (SRA) ja ühisvoorude väljatöötamisel (*programming activities*)
- SF saab kasutada JPI-d toetava teadustaristu loomisel ja JPI ühisvoorudes osalemise rahastamiseks
- Eesti: rahastus läbi riiklike programmide

ERA-NET ja SF sünergiad

- Rahastada vähemalt ühes ühisvoorus osalemist COFUND ehk COM 33% osalusega (+ RE 66%);
- Teistes ühisvoorudes võib osaleda 100% SF vahenditest, ilma COM omapanusega.
- Iga aasta võib teha mitu taotlusvooru, valida rahastusallikate vahel
- kehtib ka *programming* tegevuste kohta

Näide



- a) National contributions to the call may not come from other EU funding sources, e.g. European Structural and Investment Funds (ESIF).
- b) Beneficiaries in projects following calls for proposals topped-up by H2020 may also be supported by ESIF in compliance with national and ESIF funding rules.
- c) The total budget of the joint call may include additional call contributions including ESIF funds, provided that they are not declared as eligible costs and are not topped-up by H2020 grants (funding additional projects/beneficiaries).

Edasine koostöö

- COM juhend rakendusasetustele: Märts 2014
- Juhend kasusaajatele: Juuli 2014?
- Milliseid valdkondi; kuluartikleid (tegevusi) või projekti faase toetavad SF programmid?
- Mis tingimustel saab Horisondi (sh. ERA-Net) instrumentides osalemiseks SF abil toetust taotleda?

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